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October 20, 2004

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Art Unit 2183

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Continuation Patent Application

Application No. 10/700,485; Filed: November 5, 2003

High-Performance, Superscalar-Based Computer System With Out-

**Of-Order Instruction Execution** 

Inventors:

NGUYEN et al.

Our Ref:

SP015.C17 (1397.028000H)

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Sixth Supplemental Information Disclosure Statement:
- 2. Form PTO-1449 listing **THIRTEEN (13)** documents (2 pages);
- 3. Copy of THIRTEEN (13) cited documents as listed on Form PTO-1449; and
- 4. ONE (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox P.L.C.: 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skgf.com

Commissioner for Patents October 20, 2004 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Thomas C. Fiala

Attorney for Applicants Registration No. 43,610

TCF/mjg Enclosures



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

NGUYEN et al.

Appl. No.: 10/700,485

Filed: November 5, 2003

For: High-Performance, Superscalar-Based Computer System With Out-Of-Order Instruction Execution Confirmation No.: 7752

Art Unit: 2183

Examiner: To Be Assigned

Atty. Docket: SP015.C17 (1397.028000H)

## Sixth Supplemental Information Disclosure Statement

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Sixth Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Fifth Supplemental Information Disclosure Statement filed on August 30, 2004 in connection with the above-captioned application.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- □ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.

3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application. a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1). b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2). c. Attached is our PTO-2038 Credit Card Payment Form in the amount of \$ in payment of the fee under 37 C.F.R. § 1.17(p). 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date

of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee.

Enclosed find our PTO-2038 Credit Card Payment Form in the amount of

	\$	in payment of the fee under 37 C.F.R. § 1.17(p); in addition:					
	a.	Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of					
		information contained in this Information Disclosure Statement was cited					
		in a communication from a foreign patent office in a counterpart foreign					
		application not more than three months prior to the filing of this					
		Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).					
	□ b.	Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of					
		information in this Information Disclosure Statement was cited in a					
		communication from a foreign patent office in a counterpart foreign					
		application and, to my knowledge after making reasonable inquiry, was					
		known to any individual designated in 37 C.F.R. § 1.56(c) more than					
		three months prior to the filing of this Information Disclosure Statement.					
		37 C.F.R. § 1.97(e)(2).					
<u> </u>	. The document(s) was/were cited in a search report by a foreign patent offic						
	counterpart foreign application. Submission of an English language version						
	the search report that indicates the degree of relevance found by the foreign of						
	is provided in satisfaction of the requirement for a concise explanation						
	relevar	nce. 1138 OG 37, 38.					
<u> </u>	A cond	cise explanation of the relevance of the non-English language document(s)					
	appear	s below:					
⊠ 7.	Copies	of the documents are submitted herewith.					

■ 8.	Copies of the documents were cited by or submitted to the Office in an IDS that							
	complies with 37 C.F.R. § 1.98(a)-(c) in Application No, filed							
	, which is relied upon for an earlier filing date under 35 U.S.C. §							
	120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).							

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO 1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Thomas C. Fiala

Attorney for Applicants Registration No. 43,610

Date: 10/20/04

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

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FORM PTO-1449					ATTY. DOCKET NO. SP015.C17 (1397.028000H) APPLICATION NO. 10/700,485 FIRST NAMED INVENTOR: NGUYEN et al.					
Sixth Supplemental						FILING DATE ART UNIT				
INFORMATION DISCLOSURE STATEMENT						November 5, 2003			183	
EXAMINER		IDOC	UMENT	U.S. P	TA	ENT DOCUMENTS			<del></del>	
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	AF8		_							
	AG8	5,129	9,067	07/1992	J	ohnson				
	AH8	5,345	5,569	09/1994	1	ran				
	Al8	5,136	6,697	08/1992	J	ohnson		-		
	AJ8	6,256	5,720	07/2001	١	lguyen et al.				
	AK8	5,287	7,467	02/1994	E	Blaner et al.				
	<u> </u>			FOREIGN	V P	ATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER		DATE	COUNTRY		c	LASS	SUB-CLASS	TRANSLATION
	AL7									Yes No
	AM7									Yes No
,	AN7		133439	06/20/1986		Japan				X Yes
			10746			Japan			X Yes No	
	AP7	57-1	150040	09/16/1982		Japan				X Yes No
			OTHER	(Including Aut	hoı	, Title, Date, Pertinen	t Page	es, etc.	)	
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EXAMINER		<u> </u>	<u></u>					DATE	CONSIDERED	
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FORM PTO-1449	FIRST NAMED INVENTOR: NGUYEN	et al.
Sixth Supplemental INFORMATION DISCLOSURE STATEMENT	FILING DATE November 5, 2003	ART UNIT 2183

	1-120		U.S.	PATENT DOCUMENTS			~	
EXAMINER		DOCUMENT	5.75		0.100			
INITIAL	AA9	NUMBER 4,775,927	DATE 10/1988	NAME Hester et al.	CLASS	SUB-CLASS	FILING DATE	
	AB9	5,197,136	03/1993	Kimura et al.				
-	AC9	5,179,530	01/1993	Genusov et al.				
	AD9	5,317,720	05/1994	Stamm et al.				
	AE9	6,647,485	11/2003	Nguyen et al.				
	AF9							
	AG9							
	AH9							
	Al9							
	AJ9							
	AK9							
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EXAMINER		DOCUMENT	FOREIG	SN PATENT DOCUMEN	13			
INITIAL		NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
	AL8	36					Yes No	
	AM8						Yes No	
	AN8						Yes No	
	AO8						Yes No	
	AP8						Yes No	
		OTHER	(Including A	uthor, Title, Date, Pertin	nent Pages, etc.)	II	110	
	AR	34						
	AS	34						
	АТ	34						
EXAMINER						DATE CONSIDERED		
EXAMINER: Initial and not conside	itial if refer red. Includ	ence considered, wl de copy of this form	nether or not citation with next commun	on is in conformance with MPE ilcation to Applicant.	P 609. Draw line thro	ough citation if no	in conformance	

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